



District Court of New Zealand Te Kōti-ā-Rohe o Aotearoa

Transitional COVID-19 Protection Framework (CPF)

Traffic Light **Orange** Protocol

Current as at 23 December 2021

[Criminal](#)

[Youth Court](#)

[Family Court](#)

[Civil](#)

[Accident Compensation Appeals](#)

Nothing in this document is intended to reduce fair trial rights, the right to natural justice, or rights under the New Zealand Bill of Rights Act 1990.

This protocol commences on Monday 6 December 2021 and is in place until 30 January 2022. It recognises that there are likely to be regional variations and that local solutions may be necessary to best address local issues. Any such variations or solutions must be approved by the Chief District Court Judge.

The District Court Transitional CPF Traffic Light Orange protocol (this document) applies to any and all locations that are subject to the CPF Traffic Light Orange.

In this document “AVL” means any platform which allows for audio and visual remote participation. Without limitation, this includes VMR, MS Teams and any other electronic platform approved by the presiding Judge.

In this document, “vulnerable” means a person at higher risk of severe illness, immune-compromised or with a relevant underlying health condition.

This protocol will be regularly reviewed and modified to the extent considered necessary by the Chief District Court Judge.

DISTRICT COURT AT TRANSITIONAL CPF TRAFFIC LIGHT ORANGE Manner of Hearing all Cases in all Jurisdictions of the District Court

1. This protocol outlines how the District Court will operate at *Transitional CPF Traffic Light Orange*.
2. The Criminal, Family, Youth and Civil jurisdictions of the District Court will continue to conduct proceedings in-person at *Transitional CPF Traffic Light Orange*. However, where practicable and lawful, any proceedings may be conducted remotely in the Criminal, Family, Youth and Civil jurisdictions to reduce in-person appearances.
3. To the extent practicable, schedulers are encouraged to increase the scheduling of appointment times to ensure those people who must appear in person do so at a time when there are reduced numbers of people in court.

Proceedings at CPF Transitional Traffic Light Orange

4. At *Transitional CPF Traffic Light Orange*, the Criminal, Family, Youth and Civil jurisdictions of the District Court will conduct all proceedings to the extent practicable subject to workforce capacity levels and the requirement to observe requisite physical distancing and heightened hygiene practices including that appropriate face coverings (to be provided at the relevant time by the Ministry of Justice) are to be worn at all times in a public area of a court (unless an exemption applies).

Access to the Court at *Transitional CPF Traffic Light Orange*

5. For physical distancing reasons, entry to the court will be restricted at *Transitional CPF Traffic Light Orange*. Entry to the court will be limited to members of the judiciary, Ministry of Justice staff members, defendants, parties, witnesses, complainants, victims, and other stakeholders.
6. At *Transitional CPF Traffic Light Orange*, accredited news media will have entry to the court in order to report court proceedings, and to ensure continued open and transparent justice. Remote access for accredited news media will continue to be facilitated.
7. Members of the public (including a whānau support person or persons for a defendant) whose presence is not required at court will not be permitted to enter unless granted permission from the presiding judge. Such permission should be sought in the first instance by email to the Registrar in advance (which if granted must be supplied to the Court Security Officer at the front entrance), or alternatively, on the day of the hearing by requesting a Court Security Officer at the front entrance to convey an application via the registrar to the presiding judge. All such applications will need to identify the reason or reasons why permission is sought and will be determined on a case by case basis. This restriction is necessary to protect others and allow courts to conduct

business. A separate process applies for support people in the Youth Court (see Youth Court section of this protocol).

8. All people who enter the court must scan the QR code before entering or must complete the contact tracing register in order to gain entry to the court. Proof of identification for contact tracing purposes may be requested by Court Security Officers at any time.
9. Any person who is unwell, experiencing COVID-19 symptoms, or required to be either in quarantine or self-isolation, is expected to remain at home and will be refused entry to the court.
10. Public counters will be open, but Justice of the Peace service desks will remain closed.

Hygiene

11. The Ministry of Justice has advised it is taking steps to ensure the safety of those coming into courthouses during Transitional CPF Traffic Light Orange, including:
 - a. A designated site officer to attend to hygiene, physical distancing and safe working practices.
 - b. Access will continue to be denied to those:
 - i. Who have a temperature of 38°, or higher;
 - ii. showing signs of illness such as coughing and sneezing;
 - iii. reporting feeling unwell;
 - iv. who have had close-contact with a suspected, probable or confirmed case; or
 - v. unwilling to disclose the purpose of their visit or their personal information.
 - c. Limiting entry to those with business at court, including members of the public (who are given prior permission by the presiding judge) to enter.
 - d. Maintaining an orderly queuing system at courthouse entrances, reminding people not to enter if they are symptomatic, offering wipes for items place in screening trays.
 - e. Maintaining a contact register for the purpose of contact tracing in the event of an outbreak.
12. Providing a supply of clean PPE for use by staff, judges and all other people who are permitted to enter the courthouse. PPE (gloves and appropriate face covering) will be available for use if people wish.

- a. Persons in the custody of Police, Corrections or Oranga Tamariki will be provided with an appropriate face covering by those respective agencies for use if they wish.
 - b. Maintaining a cleaning regime based on the Ministry of Health recommendations, with a wide range of deeper cleaning measures in key areas throughout public and non-public areas and regular cleans – for example during lunch breaks – to ensure that areas where there is high traffic are clean and sanitised.
 - c. Monitoring the provision of cleaning supplies such as soap and towels and ensuring availability of key products such as hand sanitiser at appropriate points around the courthouse.
 - d. Keeping under constant review all cleaning practices and procedures, to enable additional measures to be added if required.
 - e. Reminding those attending court of the need to take personal responsibility for their own health and wellbeing – including washing hands regularly, following hygiene practices and, if unwell, following Ministry of Health guidance.
 - f. Leaving doors open where consistent with security, fire safety, privacy and noise reduction.
13. Parties should wait outside the courthouse until their matter is scheduled and vacate the courtroom once their hearing has concluded.
14. So far as possible, counsel should take instructions and brief witnesses outside the courthouse, to minimise demand on interview rooms. Larger rooms, where possible, will be made available for interviews, and counsel may request breaks to take instructions safely using those facilities.
15. Safe distancing will be observed in the courthouse and the courtroom, and the following hygiene practices can be expected:
- a. Those present in the courthouse or the courtroom, including counsel, are to observe requisite physical distancing, and alternate seats in public galleries will be taped off. Everyone should observe requisite physical distancing unless they are in a whānau bubble.
 - b. Cleaning products are available on site to enable staff and lawyers to keep their immediate areas clean (including AVL suites).
 - c. Gloves may be worn.
 - d. Hand sanitiser will be readily available within the courtroom.

- e. The court will not normally permit documents to be handed up. Documents that parties wish to produce should be scanned and shared by email at the appropriate time.
 - f. Counsel, defendants, parties and witnesses may be required to remove face coverings when speaking.
16. Any concerns about health and safety practices in the court should be raised with the site manager in the first instance.

Rostering and Scheduling

17. The statutory function of the Chief District Court Judge is to determine the rostering of judges and scheduling of work. Rostering and scheduling will continue to be determined by the Chief District Court Judge in consultation with the National Judicial Resource Manager and the National Scheduler.
18. During *Transitional CPF Traffic Light Orange*, local Judicial Resource Managers, the Executive Judges (or the applicable jurisdictional Liaison Judge), Regional Scheduling Advisors and the Registry will work collaboratively to determine the priority and extent of the work to be undertaken in each court in order to develop rosters and local schedules. The schedules will support the directions set out in the District Court *Transitional CPF Traffic Light Orange* Protocol.

CRIMINAL JURISDICTION

19. During *Transitional CPF Traffic Light Orange*, the Criminal jurisdiction of the District Court will conduct all criminal proceedings to the extent practicable subject to workforce capacity levels and the requirement to observe requisite physical distancing and heightened hygiene practices. However, from 20 December 2021 all jury trials at any orange location are suspended until at least 1 February 2022.
20. Unless advised by the Registrar (at the direction of a judge) that their appearance will be conducted remotely or their hearing will be adjourned, all defendants on bail or at large are required to appear in-person on their scheduled dates during *Transitional CPF Traffic Light Orange*. In-person attendances by defendants on bail during *Transitional CPF Traffic Light Orange* should be limited as much as possible to meaningful appearances that will substantively advance proceedings. Subject to the Criminal Procedure Act, appearances that will not substantively advance proceedings should be adjourned or conducted remotely to the extent practicable (at the direction of a Judge). In appropriate cases, counsel may apply to the Registrar for a relevant direction including a direction excusing the appearance of a defendant.

21. Registrars' adjournments may be granted subject to the Criminal Procedure Act. It is recognised that where appropriate, Registrars' adjournments will be utilised to avoid unnecessary appearances to the greatest extent possible.
22. Where individual Courts are unable to operate at full capacity, criminal work will generally be conducted in the following order of priority:
 - a. All those involving defendants who are in custody and due to appear including those involving defendants who are in custody for a scheduled appearance.
 - b. Sentencing of defendants who are in custody where sentencing can be brought forward and rescheduled by arrangement with the relevant stakeholders to a sentencing date agreed to by counsel.
 - i. Urgent applications (heard by AVL unless directed otherwise by the presiding judge) for variation of conditions of Bail including EM Bail on a hearing date agreed to by counsel.
 - ii. Section 147 applications (heard by AVL unless directed otherwise by the presiding judge) that may be determinative in relation to a particular proceeding.
 - iii. Other pre-trial applications where counsel consent but that do not require evidence or the presence of a defendant.
 - iv. Appearances at an Alcohol and Other Drug Treatment Court which will be by way of telephone or AVL, subject to availability. Where a participant is in custody awaiting entry into treatment any further remand in custody by consent is to be dealt with by telephone or AVL subject to availability. In any other case the matter is to be listed before a judge dealing with custody hearings.
 - v. Applications made under the [Returning Offenders \(Management and Information\) Act 2015](#).
 - for new offenders arriving into the country and requiring an initial application for interim special conditions; or
 - for offenders who have interim special conditions (or extensions to interim special conditions) which are about to expire; or
 - for offenders who require variations to their interim or final special conditions.
 - vi. Applications made under Part 1A of the Parole Act 2002 in relation to extended supervision orders and interim supervision orders.

- vii. Any other matter considered by a judge to warrant urgent judicial consideration or determination. Any person may apply to the Registrar for reference of a particular matter to the consideration of a judge for a determination of urgency under this provision.
- b. Non-custodial lists, including callovers and conferences.
- c. Non-custodial sentencings.
- d. Non-custodial Case Review Hearings.
- e. Non-custodial Judge-Alone Trials.

List Courts

- 23. Following consultation between Criminal Liaison Judges, local court managers, Crown counsel, local counsel, Police Prosecutors and other relevant local stakeholders, list courts conducted by Registrars, Community Magistrates, and judges, may, where necessary, be divided into two list courts to reduce the numbers in court and allow for physical distancing. This may result in judges presiding over matters that would usually be dealt with by Community Magistrates. List courts may need to be spread over two or more days.

Case Review Hearings

- 24. Counsel are to advise in case management memoranda whether they are in good contact with the defendant and whether there is any utility in the defendant appearing at the case review hearing. A judge may excuse the attendance of the defendant if satisfied resolution is unlikely and advice will be given to counsel for the defendant in advance of the case review hearing. The judge at the case review hearing will discuss with the parties what is truly in issue and accurate hearing times will be allocated.
- 25. Best practice will be for case review hearings for defendants appearing in-person to be scheduled on a 30-minute cycle with no more than four cases in every 30-minute period.

Sentencing indications

- 26. In appropriate cases, if a defendant seeks a sentencing indication, Counsel may apply to have the case rescheduled and brought on earlier for that purpose. In such a case, ss 60-65 of the Criminal Procedure Act apply.

Judicial Case Management Directions Conferences

- 27. A case review hearing may be waived by a judge pursuant to s 58 of the Criminal Procedure Act and conducted as a judicial case management directions conference.

28. If a defendant is represented by counsel, a judge may excuse the in-person attendance of the parties and direct that the conference is to proceed by telephone conference. All such hearings are to be recorded on the FTR transcription system.

Judge Alone Trials - Pre-trial Conferences

29. Pre-trial conferences may be scheduled by telephone with counsel, police or prosecutors at least one day prior to trial to confirm:
- b. Whether it is anticipated the JAT may proceed including, whether there are any relevant limitations created by COVID-19 restrictions.
 - c. If it is to proceed, whether the defendant wishes to consent for the purposes of s 9 of the Courts (Remote Participation) Act.
 - d. The witnesses who may be required and, subject to Subpart 5 of the Evidence Act, whether they are to be heard in-person at Court or by alternative means.
 - e. If a witness is intended to appear and give evidence remotely, whether there is a need for the sharing of documents during the trial and whether the technology is available for doing so.
 - f. The types and volume of documents or other items that may be required to be produced in evidence.
 - g. Such other matters as the judge may consider appropriate, including pre-allocation of a start time on the hearing date.
30. Counsel and the prosecutor must email the Registrar one day prior to the pre-trial conference and address the above factors for purposes of that telephone pre-trial conference call. That email advice is to take into account ss 5-9 of the Courts (Remote Participation) Act.
31. It is recognised that counsel will require adequate time to brief clients and witnesses (including allowing clients and witnesses to appropriately handle documents and sign off instructions/briefs of evidence). If applicable, counsel will raise any issues with the presiding judge during pre-trial conferences. Judge Alone Trials would generally not proceed where counsel advise the Court because of limitations created by COVID-19 restrictions the case is not ready to proceed (for example, if it has not been possible to obtain full instructions or brief necessary evidence). However, it is recognised that each decision will remain a matter for individual judges to determine on the merits on a case by case basis.
32. At or following the pre-trial conference the judge will issue directions regarding the matter, including as to remand to future date if plea is to be changed, or if a plea of guilty entered; as to the trial (including in relation to evidence and exhibits) and any other matters.

33. In any event, if a change of plea is anticipated at any time counsel must inform the court promptly.

Pre-trial Applications

34. Subject to the Courts (Remote Participation) Act, if a defendant is represented by counsel, hearings of all pre-trial applications that do not involve viva voce evidence may be conducted, in appropriate cases, by way of written submissions with counsel appearing by either telephone link or by AVL. The hearing is to be recorded on the FTR transcription system.

Judge Alone Trials

35. Judge Alone Trial days may commence with 9am call-overs, for presiding judges to confirm arrangements with counsel and prosecutors either in-person or by remote participation. The attendance of defendants at these call-overs is excused.
36. The police or prosecutor should not bring their relevant witnesses to the courthouse but to the extent practicable have them available to attend at reasonable notice if they are in fact required.
37. Trials which are not to be the first trial to start are to be given a fixed time to commence on a staggered basis and adjourned until that time. Counsel/self-represented defendants are to provide the Registrar with email addresses and contact numbers for the purpose of informing them when their matter is ready to proceed.
38. Counsel appearing at Judge Alone Trials are to be provided with suitable facilities to obtain instructions. This will include the use of spare courtrooms or other parts of the court building that allow for physical distancing and the ability to take instructions in private. It is recognised that more adjournments than usual may be necessary, and special arrangements may need to be made (especially for those defendants in custody), during the course of a Judge Alone Trial to allow counsel to obtain instructions and maintain physical distancing.
39. Counsel and prosecutors are to “hand up” documents during a Judge Alone Trial either by emailing an electronic copy of the document to the court registrar or as directed by the presiding judge.

Sentencing

40. Sentencing is to be timetabled for specific appointment times and if more practicable in blocks of time. In appropriate cases, Judges may direct that submissions be filed for non-Crown sentencing matters, to ensure best use of scheduled hearing time, provided that timetabling directions are reasonable and take into account practical difficulties counsel and prosecutors may continue to experience during *Transitional CPF Traffic Light Orange*.

Defendants in Custody

41. All defendants who are in custody will continue to appear before a judge for all scheduled appearances during *Transitional CPF Traffic Light Orange*.
42. Subject to the Courts (Remote Participation) Act, all defendants in corrections custody are to appear by AVL unless a judge otherwise directs. In a Judge Alone Trial the defendant must attend court in-person unless a Judge makes a determination in accordance with section 9 of the Courts (Remote Participation) Act.
43. Subject to the Courts (Remote Participation) Act, all defendants in police custody are to appear in person unless a judge otherwise directs that the appearance be by way of AVL. AVL appearances should not be directed if:
 - a. there has been inadequate ability for counsel to obtain instructions remotely; or
 - b. police or the court are unable to facilitate remote participation by the defendant.
44. Where a defendant requests to appear in-person, the criteria in s 8 of the Courts Remote Participation Act must be observed.

Defendants at large or on bail

45. Defendants who are at large or on bail are expected to comply with *Transitional CPF Traffic Light Orange* physical distancing and rules applicable to wearing face coverings when they attend the court. Defendants, amongst others, will be offered Physical Protective Equipment (“PPE”) when they enter the Court.
46. Defendants who are at large or on bail are not to enter the dock but are to stand adjacent to it. This is to avoid surfaces of the dock being touched.

Remote Bail Applications

47. If a local judge is unavailable to hear a bail application, any other judge will, where practicable, in appropriate cases, be allocated to hear the application by remote participation. Counsel should apply to the Registry in these cases.

Warrants to Arrest

48. Warrants to arrest will continue to be issued on a case by case basis. However, as a general approach, warrants to arrest would not be issued by presiding judicial officers if they have been informed that the defendant has been denied same-day entry pursuant to paragraph 8 or has called the 0800 COURTS (0800 268787) number and has advised that he or she is unable to enter the courthouse because he or she is exhibiting symptoms consistent with COVID-19 infection, or is vulnerable on account of age or has a pre-existing health condition. Judicial officers may excuse the attendance of

defendants in those circumstances and grant an adjournment with attendance required at the next appearance. This information about next appearance will be communicated to defendants who call the 0800 COURTS (0800 268787) number.

Saturday and Public Holiday sittings

49. Justices of the Peace will generally continue to sit on Saturdays and on public holidays to conduct Arrest Courts. If Justices of the Peace are unavailable to sit, Community Magistrates will generally sit on Saturdays and public holidays to conduct Arrest Courts. If Community Magistrates are unavailable to sit, judges will generally be rostered to sit. If a local judge is unavailable to sit, a judge will generally be rostered to sit by remote participation.
50. If a judge considers it appropriate to do so, a judge may conduct a Saturday and public holiday court whether or not a Justice of the Peace, or a Community Magistrate is available.

Expectations of Counsel and Prosecutors

51. Counsel and prosecutors are expected to assist the court by:
 - a. Briefing clients and witnesses on public health messages, including:
 - i. Not to come to court if unwell.
 - ii. To advise the court as early as possible if they are unable to attend court.
 - iii. Physical distancing expectations in the courthouse and precincts.
 - iv. How exhibits will be handled in their case.
 - v. What they may expect by way of PPE and hygiene supplies in the courthouse.
 - vi. The limits on attendance by members of the public.
 - b. Reducing the need for attendance in the courthouse by counsel, their clients and witnesses by reviewing each attendance in advance and:
 - i. Seeking remote participation for appropriate cases and witnesses.
 - ii. Seeking excusals from appearances in administrative hearings.
 - iii. Limiting the number of witnesses via the use of agreed facts.
 - c. Raising any deficiencies with cleaning, the availability of cleaning supplies or physical distancing with the site manager immediately.

Triaging to avoid unnecessary in-person appearances

52. Triage should be identified as a primary means to manage demand, and there should be clear expectations that counsel will co-operate and take a proactive approach to ensure that these are minimised, and use is made of remote participation whenever appropriate.

Police Prosecutors and Court Probation Officers

53. Police Prosecutors (which includes for the purposes of this protocol, lawyers who work for the Police Prosecution Services) and Court Probation Officers should generally appear in-person for all categories of case. Police Prosecutors and Court Probation Officers in the “vulnerable” category, should generally not appear, but if it is necessary to do so, they may appear by telephone link or by AVL.

Victims

54. Victims may attend personally in the courtroom, or by telephone link, or via AVL, subject to the Evidence Act.

YOUTH COURT

55. This protocol outlines how the Youth Court will operate under *Transitional CPF Traffic Light Orange*. This protocol needs to be read in conjunction with the District Court (Criminal) protocol under *Transitional CPF Traffic Light Orange*.
56. *Transitional CPF Traffic Light Orange* will see Family Group Conferences continue to be held.
57. The number of in-person appearances made by young people may increase for monitoring events and other substantive hearings. Under *Transitional CPF Traffic Light Orange*, AVL appearances are no longer the default position, unless safety concerns or other circumstances necessitate this. Appearance in-person is the default position.
58. However, the safety of young persons and their whānau (especially those aged over 70 or with pre-existing health conditions) remains of paramount concern for the court and all professionals involved in the Youth Justice process. Youth Court professionals are expected to work collaboratively to uphold the primary objective of keeping all court participants safe. This may involve measures to limit the need for travel to courts for young persons and their whānau, and a continued reliance on remote participation of stakeholders, where this is required for safety reasons and it is practicable to do so.

59. All professionals play an equally important role in keeping everybody safe. All reasonable measures should be taken to protect all participants, including vigilant compliance with physical distancing.
60. Professionals should continue to keep the registry informed regarding their ongoing ability to operate safely within the court, so that alternative or flexible arrangements can be made as required. Where professionals wish to appear remotely, a request should be made to the registry in advance of the hearing. Written submissions should be provided to the Court in advance of the hearing wherever possible.
61. For Lay Advocates specifically, home visits are not recommended under *Transitional CPF Traffic Light Orange*. Lay Advocates may attend court hearings in-person where necessary to support young people and their whānau or appear remotely by AVL.
62. The Youth Court appointment system will continue to operate as under *Transitional CPF Traffic Light Orange*, ensuring that physical distancing measures are in place for all participants, and young persons and their whānau continue to be provided privacy. The expectation remains that parties who attend in-person are punctual and do not attend court earlier or remain at court any later than their appointment time. Specific appointment times will be allocated for each case.
63. The Youth Court [process](#) notified on [3 April 2020](#) for the attendance of support persons for young people applies and remains in force during Transitional CPF Traffic Light Orange, unless otherwise directed by the presiding Judge.
64. The Youth Court will continue to prioritise those cases affecting the liberty of young people and where decisions need to be made affecting those who are already in custody.

There are six categories of cases under Transitional CPF Traffic Light Orange:

Young People who have been arrested and are in Police Custody

65. Young persons who are arrested are to be brought before a Youth Court in person for consideration of bail. Where there are COVID related safety issues young persons who are arrested may appear by AVL from a police station where facilities exist and this is directed by a Judge.

Young People in Oranga Tamariki Custody and Corrections Custody

66. For those already in custody in Residences, appearances are to be in-person wherever practicable and consistent with COVID safety considerations for substantive hearings affecting the young person. These include opposed bail applications, early release hearings, secure care applications, and disposition hearings of all types.

67. It remains vital to ensure that any in-person appearances for those in custody are in fact necessary. Youth Advocates are requested to consider whether application should be made for attendance to be excused where nothing substantive is to be decided and provide a memorandum to the Judge where that is appropriate seeking a direction.
68. Moving young people and their escorts from residence to court remains risky for all. In instances where inter-regional and/or air travel would be required, case-by-case determinations will need to be made around whether appearances may be by AVL or transferred to a court nearest the residence. Appearances should be made via AVL where inter-regional or air travel would otherwise be required to an area placed under *Transitional CPF Traffic Light Orange*.

Criminal Procedure (Mentally Impaired persons) Act proceedings

69. In relation to Criminal Procedure (Mentally Impaired Persons) Act proceedings decisions will need to continue to be made in each case as to how best to proceed. Special hearing arrangements will need to be considered and directions given by Judges. Youth Advocates are again requested to consider any of these cases which they have and to seek directions from a Judge after consulting with the Police, Oranga Tamariki, forensic services, and any other agency or professional such as Communication Assistants involved whose input is necessary.

The cases which have been adjourned

70. Under Transitional CPF Traffic Light Orange, Judges will review cases where the young person is on bail or at large to identify those cases which require priority attention, including those awaiting a Family Group Conference to be reported back to the court, monitoring appearances, Judge Alone Trials, disposition hearings and CP (MIP) hearings.
71. Oranga Tamariki will continue to advise the court of progress on other active cases, for example those progressing towards agreeing FGC plans for FGCs already directed, or progress on FGC plans already under judicial monitoring.
72. In some cases, the information currently on file might not reflect the need for urgent attention due to a change in circumstances or other issues that have arisen since the adjournment. For those cases Youth Advocates are to confer with the Police, Oranga Tamariki, Lay Advocate and other agencies or professionals, such as Communication Assistants involved whose input is required and provide an agreed memorandum as to any issues requiring urgent attention and the directions sought to advance matters.
73. Judges will convene pre-hearing conferences as required, with remote participation by Youth Advocates, Youth Aid, Oranga Tamariki and other professionals as appropriate. It is not expected that young people will attend these conferences. Youth Advocates,

Police, Social Workers and other appointed professionals must discuss the issues for consideration at the conference in advance so that wherever possible an agreed position can be put before the Judge.

Cross-over Cases

74. Cross-over cases under Transitional CPF Traffic Light Orange must continue to comply with the Family Court Protocol for Transitional CPF Traffic Light Orange and engage in consultation with the relevant Family Court professionals.

Rangatahi and Pasifika Courts

75. Youth Court sittings on Marae and at Pasifika venues will not resume until it is considered by all participants to be safe to do so. Where cases were being heard at Te Kōti Rangatahi or Pasifika Courts but are now being heard at courthouses Youth Advocates and Lay Advocates are requested to advise the court whether the young person and whānau seek to have cultural processes such as karakia and pepeha incorporated in their hearing.

FAMILY COURT

76. At Transitional CPF Traffic Light Orange the Family Court remains an essential service. Under Transitional CPF Traffic Light Orange the Court will continue to undertake priority work, such as box work and without notice applications as examples, but also intends to undertake all previously scheduled work. However, that work must have regard to safety, with an increased emphasis on the use of remote participation to reduce in-person appearances to the extent practicable.
77. However, the court's ability to do so will be subject to several factors including registry staff capacity, the availability of technology for remote participation and the need to observe physical distancing for in-person appearances.
78. During the COVID-19 Traffic Light settings local Judicial Resource Managers, the Family Court Liaison Judge (or in regional localities the resident judge/s), Regional Scheduling Advisors and the Registry will work collaboratively to determine the priority and extent of the work to be undertaken in each court in order to develop rosters and local schedules. The schedules will support the directions set out in the District Court Protocol.
79. Where a Family Court is unable to operate at full capacity, family work would generally be conducted in the following order of priority:
 - a. Applications for Compulsory Treatment Orders, under the Intellectual Disability (Compulsory Care and Rehabilitation) Act, Protection Orders, Without Notice

Interim Parenting Orders including enforcement, guardianship disputes (COCA), Without Notice Custody Orders or Place of Safety warrants (Oranga Tamariki); Welfare Guardianship or Property Orders (PPPR).

- b. Any other application considered by a judge to warrant an urgent hearing or judicial conference.
- c. Other urgent applications normally considered on the eDuty platform
- d. While dealing with court backlogs in priority order, the court will consider any memoranda or representations of counsel regarding realistically achievable timetabling directions and scheduling

Triage

- 80. A triage process has been operating in a number of courts (particularly metropolitan courts) to identify and progress priority cases within the following categories:
 - a. matters adjourned during previous COVID-19 emergency settings
 - b. matters dealt with on e-duty;
 - c. work identified as urgent from triaging box work files; and
 - d. matters already scheduled to be heard.
- 81. In cases where that process is incomplete, it will continue. The files will be provided to judges in accordance with local arrangements. There is no requirement for the registry to prepare memoranda or use the E-Box process other than for actual box work.
- 82. Cases identified as a priority will be scheduled for a 30-minute conference/callover.

Conferences / Callovers / List Courts

- 83. All counsel are entitled to appear in person. However, a judge may permit counsel to appear by telephone link or AVL provided that suitable facilities are available, and a timely application has been made.
- 84. The parties may also appear in person but the judge may excuse in-person attendance and direct that the conference/callover proceed by way of remote participation including AVL or telephone. Judges must have regard to the interests of vulnerable parties and those for whom remote participation is not possible.
- 85. All conferences/callovers are to be conducted by a judge and recorded on the FTR transcription system.
- 86. All conferences/callovers lists will require further time to be scheduled with best practice requiring 30 minutes to be allocated rather than 15 minutes.

87. If there are in-person appearances, then:
- a. physical distancing must be observed;
 - b. any person who does not have direct business with the court may not attend without prior approval of the presiding judge;
 - c. whānau support may only be given by a whānau member or members whose attendance has been permitted by the presiding judge; and
 - d. the introduction/continuation of split lists may be necessary.
88. Cases already scheduled in list courts will remain as scheduled. No changes will be made to the event duration.

Memoranda

89. No less than 5 working days before any conference/callover or fixture, counsel must file memoranda detailing the following:
- a. whether they have current instructions;
 - b. whether the conference/fixture is still required; and
 - c. if not, what directions/orders are sought.
90. If a fixture is still required:
- a. Advise whether the case should be afforded priority and why.
 - b. Identify the issues in dispute.
 - c. Identify the directions sought including the number of witnesses and the mode of evidence.
 - d. Provide an accurate estimate of time.

E-Duty

91. The e-Duty platform will be regionally based to the extent practicable. However, if on any day there is no Judge in the region rostered for e-Duty, then the e-Duty auditor will allocate cases to the judges who are rostered for e-duty. This process is to be reviewed at regular intervals by the Principal Family Court Judge in consultation with the Ministry of Justice and will have particular regard to factors such as regions of NZ being under different Traffic Light settings.

Mental health

92. Following consultation with the Director of Mental Health, it is expected that, under *Transitional CPF Traffic Light Orange*, in person hearings may resume, where it is practicable and safe to do so. All factors including, but not exclusive to, the following will need to be considered:
- a. Whether any participant in the hearing is in a risk group vulnerable to COVID-19.
 - b. Whether the venue for the hearing presents a risk to the spread of COVID-19.
 - c. The individual rights of the patient.
93. The hearing options are – in person, AVL, telephone link or a combination of them. Decisions will need to be made on a case by case basis.

Lay Advocates

94. For Lay Advocates specifically, home visits are not recommended under *Transitional CPF Traffic Light Orange*. Lay Advocates may attend court hearings in-person where necessary to support young people and their whānau or appear remotely by AVL.

Hearings

95. Subject to the following paragraphs, hearings involving viva voce evidence are expected to proceed in person.
96. Hearings that do not involve viva voce evidence, such as submission only hearings or Pickwick hearings, may be conducted, in appropriate cases, by way of written submissions with counsel appearing by AVL or telephone link. The hearings are to be recorded on the FTR transcription system.
97. All short cause hearings, for example family violence fixtures, and long cause hearings will be preceded by a call-over to be conducted in advance of the hearing date. The call-over will be conducted by telephone and will determine such matters as:
- a. The order of hearings for the day.
 - b. The length of the hearing.
 - c. Numbers of witnesses.
 - d. Mode of evidence.
 - e. Ability to observe physical distancing.
 - f. The production of documents/ exhibits.
98. All documents are to be filed in electronic form prior to the hearing. There are to be no hand-ups, unless a judge otherwise directs.

99. For long cause fixtures, a call-over must occur in sufficient time in advance of the hearing to enable fixtures to be allocated and to consider whether the hearing can proceed subject to *Transitional CPF Traffic Light Orange* constraints.
100. It is anticipated that scheduled long cause fixtures with multiple parties and/or multiple witnesses may present challenges in terms of physical distancing and hygiene that make it impossible to safely proceed with these types of hearings. If counsel identify any such scheduled hearings, then they should file a memorandum immediately for consideration by a judge as to whether the fixture can safely proceed or not.

CIVIL JURISDICTION

101. Subject to workforce capacity levels and the requirement to observe physical distancing, the District Court will continue to hear as many of the civil cases that are currently scheduled during *Transitional CPF Traffic Light Orange* as possible.
102. Where individual courts are unable to operate at full capacity priority will be given to:
 - a. Returning Offenders applications and injunctions, or other applications that merit urgent attention.
 - b. Harmful Digital Communication applications, Restraining Order applications, and Tenancy Appeals that merit urgent attention.
 - c. Generally, matters considered to be of such significant national or community importance that the immediate attention of the District Court is warranted. This category may include proceedings taken by or that arise out of actions taken by public officials.

Civil Pre-trial Call-overs

103. Civil Liaison Judges will conduct pre-trial call-overs and give directions in respect of all defended civil trials that are scheduled to be heard during *Transitional CPF Traffic Light Orange*.

Modification and application of the District Court Rules 2014

104. The Chief District Court Judge expects that judges will:
 - a. Use their powers under s 24 of the Epidemic Preparedness Act 2006, where necessary and appropriate, to modify the requirements and restrictions imposed by the District Court Rules 2014 in accordance with the following.

- b. Exercise their existing discretion under the rules, where the COVID-19 emergency is relevant to their doing so, in accordance with the following.

Judges may direct the form of participation in hearings and at trials

105. A judge may make a direction as to the form of participation by counsel, parties, witnesses and other persons at any hearing or trial conducted pursuant to the Rules by:
 - a. dispensing with any requirement for a person to be physically present in the court and make provision for alternative means of complying with any such requirement;
 - b. directing methods of attendance at, and participation in, a hearing or trial (for example, in person, by telephone, by audio-visual link);
 - c. directing where and how any person attending or participating in a hearing or trial in person must conduct themselves in respect of their physical proximity to other persons in attendance (for example, to maintain 'social distancing');
 - d. requiring any person attending or participating in a hearing or trial in person to be attired in a particular manner (for example, by wearing Personal Protective Equipment).
106. A judge may have regard to the existence of the COVID-19 emergency in New Zealand and the likely impact of the New Zealand COVID-19 traffic light settings on the operation of the court as matters relevant to deciding the appropriate mode of trial in a proceeding pursuant to rule 10.1(2)(g).

Filing generally

107. Any document required by the District Court Rules to be filed, may be filed in the proper registry office of the court by:
 - a. preferably, filing it electronically to an email address published by the Registrar of the court or via [File and Pay](#); or
 - b. delivering it by hand during the gazetted opening hours of that office; or
 - c. sending it by mail to a postal address published by the Registrar of the court.
108. A document is filed when it is:
 - a. accepted for filing by the registry where a document is delivered by hand provided that a judge may direct that documents only be filed either by post in accordance with 4(b) or electronically in accordance with 4 (c);
 - b. received by the registry in the post where the document has been posted;

- c. received by the registry electronically via an email address published by the Registrar of the Court or via [File and Pay](#).

109. A document is not filed until the earlier of:

- a. payment of the fee prescribed under the District Court Fees Regulations;
- b. payment with a credit card payment authority for the prescribed fee provided that the Registrar has the facility to accept payment in that manner;
- c. payment of the prescribed fee by means of electronic bank transfer into an account identified by the Registrar for that purpose provided that the Registrar has the facility to accept payment in that manner;
- d. payment of the prescribed fee electronically through File and Pay;
- e. the solicitor on the record or a barrister sole who may represent the person filing the document without the intervention of an instructing lawyer provides the Registrar with an unconditional undertaking to pay the prescribed fee within three working days of the document being filed;
- f. the Registrar determines that the document is to be treated as having been filed despite the non-payment of the fee provided that the Registrar may do so where it is necessary in the interests of justice.

Power to limit acceptable methods of service

110. A judge or Registrar may direct that any document required to be served must be served by posting the document to a Postal Box or transmitting the document electronically to a specified fax or email address and by no other means.

Signatures

111. If satisfied that doing so is in the interests of justice, having regard in particular to the existence of the COVID-19 emergency and any delays that would be associated with requiring an original signature be obtained, a judge or Registrar, as the case may be, may order or direct that:

- a. A document that does not contain an original signature may be accepted for filing and may be relied on and used in a proceeding.
- b. Any document required by the Rules to be signed not be required to be signed, or order that the document be authenticated in another more practicable manner in lieu of being signed.

Reliance on unsworn affidavits

112. A judge or Registrar may direct that an affidavit that is not sworn or affirmed in accordance with the Oaths and Declarations Act 1957 be accepted for filing and read in the proceeding only where:
- a. the judge or Registrar is satisfied that requiring compliance with the Oaths and Declarations Act 1957 would cause unacceptable delay; or endanger the health and well-being of any person; or
 - b. the affidavit is presented for filing with a memorandum of the solicitor or counsel for the party that confirms the document contains the same evidentiary matter as the intending deponent would have sworn or affirmed and undertakes to file the sworn or affirmed affidavit as soon as the circumstances permit.

Briefs of evidence

113. A judge may order that a brief of evidence or other written statement of a witness or intending witness is not required to be signed by the witness or intending witness by whom the brief or other written statement is provided if satisfied that doing so is in the interests of justice, having regard in particular to the existence of the COVID-19 emergency and any delays that would be associated with requiring an original signature be obtained.

ACCIDENT COMPENSATION APPEALS

114. Under *Transitional CPF Traffic Light Orange*, judges will accord priority for assessment of those appeals that were scheduled for hearing and adjourned under *Transitional CPF Traffic Light Red*. Such assessment will determine the next steps ahead, including dealing with them on the papers, by telephone conference, use of remote technology or by adjournment as agreed by the parties.
115. Accident Compensation Appeals jurisdiction in the District Court, managed by Tribunals, Wellington will receive new matters and existing matters will be reviewed regularly by email. All communications including queries on any matter and filing of all documents are to be by email to email address AppealsACR@justice.govt.nz. Given the restrictions under *Transitional CPF Traffic Light Orange*, there will be delay in responding to matters.
116. If a party does not have the ability to file documents electronically, they may be mailed to DX number: SX11159, Wellington Tribunals; or to: Wellington Tribunals, Level 1 - 86 Customhouse Quay, Wellington, 6011. No document will be received for filing in person at the Tribunals Office, Wellington or any other Tribunals Office.

